

Financial Assistance Application – Seawater Intrusion Control Loan

Amount of Assistance Requested: \$ _____

State Assigned Project No.: _____

I. Applicant Information

Agency Name: _____
Street Address: _____
Mailing Address: _____
E-mail: _____ County: _____ Federal ID Number: _____
Authorized Representative, Title: _____ Phone: _____
Contact Person, Title: _____ Phone: _____

II. Project Information

1. Project Description: (Attach a brief description. Label as Attachment 1) _____
2. Project Name: _____
3. Regional Water Quality Control Board: _____ 4. Est. Construction Start Date: _____
5. Current Project Status: ☐ Planning Underway ☐ Planning Complete ☐ Other _____

6. Estimated Project Capital Costs and Funding Summary:

Cost Classification	SWRCB Program Share, \$	Applicant's Share, \$	Other Loans or Grants, etc., \$	Total, \$
A. Facilities Construction				
B. Facilities Design				
C. Engineering Services During Const.				
D. Other Costs (Explain)				
E. Total Capital Costs				
F. Additional Cash Flow Needs	Not Fundable			
G. Total Funding Requirements				

All costs in Item 6 above are adjusted to the following date: _____ by using the following rate of inflation _____ % annually, or cost index: (name) _____, (index value) _____

7. Sources of Funds for Capital Costs:

Source	(e)	Amount, \$
A. Loan Requested		
B. Grant Requested		
C. Cash Reserves Now on Deposit		
D. Bonds	(f)	
E. Tax Levies	(g)	
F. Non-cash	(h)	
G. Short Term Loans or Notes	(h)	
H. Other State Loans or Grants	(i)	
I. Other Federal Grants of Loans	(h)	
J. Other		
K. Total	(j)	

- (e) Use attachments if necessary (label as Attachment 2).
(f) Specify type of bonds, provide date of voter approval, total amount of authorized issue, and effective interest rate.
(g) Specify type of tax and provide the amount to be received by the date of the expected state loan award.
(h) Provide details of legal authorization, cite appropriate code or other legal reference, specific source of funds, time of expected receipt and, in the case of liabilities, the security provided by the Applicant.
(i) Provide name of program and law authorizing program, whether grant or loan, date of approval, schedule of payments, and effective interest rate for loan.
(j) This total should equal the Total in item 6.M. above.

III. Authorization and Other Approvals

1. **Legal Authority:** Submit a legal opinion, including legal citations, addressing the following issues (label as Attachment 3):
 1. The legal authority to enter into a loan or grant contract with the State Water Resources Control Board
 2. Any requirements that the applicant hold an election before entering into a loan or grant contract with the State Water Resources Control Board. If an election is required, state the date held or the date scheduled: _____
2. **Applicant Authorization:** Submit a certified copy of a resolution adopted by the governing body (label as Attachment 4). A model resolution is attached for your reference.
3. **Water Rights:** To comply with Sections 1210 through 1212 of the Water Code, a Petition for Change may be required to be filed and approved by the Division of Water Rights, State Water Resources Control Board.
Have you filed a petition with the Division of Water Rights? ☐ Yes ☐ No

If no, explain: _____
You should contact the Division of Water Rights, Petition Unit, at (916) 341-5356 for further information.
4. **Project Report or Facilities Planning Document:** Submit a completed facilities planning document and any supplementary documents that contain the required facilities planning information described in each of the program guidelines (label as Attachment 5).
5. **Environmental Review:** Refer to the Division of Clean Water Programs *Environmental Review Process Guidelines for State Loan and Small Community Grant Applicants* for details on meeting state environmental review requirements. Submit all available environmental documents for your project (label as Attachment 6). Indicate below those documents submitted:

☐ Negative Declaration ☐ Draft EIR ☐ Final EIR ☐ Notice of Determination
State Clearinghouse Number: _____
6. **Project Schedule:** Submit a project schedule showing project milestone dates (label as Attachment 7). This schedule should be updated periodically as more information becomes available.
7. **Regional Board Requirements:** Submit adopted or tentative Waste Discharge Requirements, Water Reclamation Requirements, and/or NPDES Permit which the Regional Board has adopted or proposed for the project (label as Attachment 8).
8. **Real Property Acquisition:** Has all necessary land or right-of-way been acquired? ☐ Yes ☐ No
If no, submit status of acquisition (label as Attachment 9).
9. **Agreements With Other Parties:** Please list (in section V, on last page of application) all agreements and approvals needed for implementation of the project. Explain status of said agreements and approvals.

IV. Other Submittals

2. **Dedicated Source of Revenue:** A "Dedicated Source of Revenue" is required to repay the loan. Please attach appropriate resolution or ordinance or indicate schedule to provide dedicated source of revenue (label as Attachment 10). A Dedicated Source of Revenue must be approved before a loan contract can be issued.

V. Notes and Explanations

VI. Certification and Signature of Authorized Representative

I certify that the information in this application, including all attachments, is true and correct to the best of my knowledge and belief. I understand that updated information will be required to be submitted later.

Signature: _____

Date: _____

Printed Name: _____

Agency's Federal I.D. No. _____